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# PoAs and scaled-up mitigation actions

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Viewpoints from a DOE's perspective  
Johann Thaler (Validator/Verifier)  
TÜV SÜD Industrie Service GmbH



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# Focus of this presentation

**Paragraphs 17 and 18** of the  
PoA STANDARD (EB65, Annex 3) in combination  
with  
**PoA Procedure (EB55, Annex 38)**

Paragraph 17 of EB65, Annex 3 regulates that

- The CME shall have the competencies to check the features of potential CPAs and ensure that each CPA meets all requirements and eligibility criteria before inclusion in the registered PoA.
- The CME shall develop and implement a management system made available to the DOE at the time of validation of the PoA



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- This management system has to include
  - roles and responsibilities
  - arrangements for training
  - procedures to avoid double counting
  - records and documentation control process for each CPA
  - measures for continuous improvements of the PoA management system



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➤ Paragraph 18 of EB65, Annex 3 regulates that

„The DOE shall assess the elements of the management system as part of the validation of the PoA or as part of the validation of the CPA inclusion“

## **How to validate the competencies and the management system of the CME?**

- Management system manual / documented procedures (defining responsibilities, technical reviews, requirements for inclusion of CPAs)
- Training and capacity building records (training manual, certificates, workshops etc)
- Job descriptions / hiring requirements / terms of reference to be cross-checked with competencies of staff (educational background, training, workshops etc.)

## How to validate the competencies and the management system of the CME?

- Interviews with personnel involved in monitoring, reviewing activities, maintaining the database, training activities, operation etc.
- If CPA is already implemented: on-site interviews and cross check whether management system and procedures are implemented and carried out in practice as documented
- Special attention to data flow

## **Challenges in Validation regarding paragraph 17 (EB65, Annex 3)**

- No clear documented procedures and/or management system elaborated yet
- Lack of training and capacity building records since the same will be carried out at a later moment than at the time of validation
- Lack of record keeping system (like appropriate functioning database) at the time of validation

## **Challenges in Validation regarding paragraph 17 (EB65, Annex 3)**

- lack a dedicated team with technical background, a clear definition of roles and responsibilities
- incomplete and/or inadequate check of CPA inclusion
- lack of influence/access on/to the project implementer
- No assurance that CPA meets the eligibility criteria

## Challenges in Validation regarding paragraph 17 (EB65, Annex 3)

- CMEs tend to focus on delivery/installation of systems rather than on the data management, monitoring and verification process
- CME often lacks the capacity/competence to manage multi-country/province CPAs when dealing with different agencies, partners and possibly languages

## Concrete example (cookstove PoA)



## Compliance check during validation of paragraph 17 of EB65, Annex 3

- Clear definition of roles and responsibilities of personnel involved in the process of inclusion of CPAs, including a review of their competencies
  
- ☑ Detailed overview about roles and responsibilities of CME and project implementer provided in the PoA-DD and confirmed by on-site interviews with CME and project implementer; Competencies substantiated by track records and working experience of CME/project implementer, educational background;

## Compliance check during validation of paragraph 17 of EB65, Annex 3

- Records of arrangements for training and capacity development for personnel
  - ☑ There were no specific training and capacity development /building records for personnel responsible for monitoring activities available at the moment of validation (like e.g. training certificates, attendance lists, realized workshops) since the project has not been implemented yet. However, documents explaining the sampling and survey process, training manual, guidelines for the entry of data into the database and interviewer field guide have evidenced that arrangements for training/capacity development for personnel are in place;

## Compliance check during validation of paragraph 17 of EB65, Annex 3

- Procedures for technical review of inclusion of CPAs made available to the DOE at the time of validation of the PoA
- A procedure to avoid double counting (e.g. to avoid the case of including a new CPA that has already been registered either as a CDM project activity or as a CPA of another PoA;
  - ☑ Both procedures have been explained in detail in the PoA-DD and could be confirmed in on-site interviews with the CME to be appropriate;

## Compliance check during validation of paragraph 17 of EB65, Annex 3

- Records and documentation control process for each CPA under the PoA;
  - ☑ Procedure for data collection and data management and the template of a registration card (filled out with all relevant data for the database when an ICS is sold/installed) substantiate the documentation control process;

## Compliance check during validation of paragraph 17 of EB65, Annex 3

- Measures for continuous improvements of the PoA management system
  - ☑ The measures for continuous improvements (like e.g. overall database maintenance) have been explained in detail in the PoA-DD and could be confirmed in on-site interviews with the CME to be appropriate;



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# THANK YOU!

**Johann Thaler**  
**Validator/Verifier TÜV SÜD**  
**Email: [johann.thaler@tuev-sued.de](mailto:johann.thaler@tuev-sued.de)**